

**JANSSON, SHUPE, BRIDGE & MUNGER, LTD.**

A PROFESSIONAL CORPORATION

MAIN PLACE - 245 MAIN STREET

**RACINE, WISCONSIN 53403**

INTELLECTUAL PROPERTY LAW AND LITIGATION,  
AND RELATED BUSINESS DEVELOPMENT,  
INTERNATIONAL TRADE AND  
TECHNOLOGY MATTERS  
PATENTS - TRADEMARKS - COPYRIGHTS

TELEPHONE: 262/632-6900

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PETER N. JANSSON\*  
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DAVID A. FREY\*  
PETER C. STOMMA  
EDWARD R. ANTARAMIAN  
LARRY L. SHUPE-OF COUNSEL

\*ALSO ADMITTED IN ILLINOIS

December 15, 2000



BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Application Serial No. 09/641,595  
Title: METHOD FOR MARKING A THERMOPLASTIC MATERIAL  
Filed: 08/18/2000  
Attorney Docket: JFH-A12898US

Dear Sir:

Enclosed is a File Receipt issued for the above invention. Please make corrections as follows:

1. The priority document number on the receipt is incorrect. It number should read "DE 199 42 055.6-4."
2. The title of the invention should read "METHOD FOR MARKING A THERMOPLASTIC MATERIAL."

Very truly yours,

A handwritten signature in black ink, appearing to read "David A. Frey".

David A. Frey

DAF/sp  
Enclosure

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 12-15-00

A handwritten signature in black ink, appearing to read "Sue Plagemann".

Sue Plagemann



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/641,595	08/18/2000		950	JFH- A12898US	1	10	1

24314  
JANSSON, SHUPE, BRIDGE & MUNGER, LTD  
245 MAIN STREET  
SUITE M  
RACINE, WI 53403-1034



## FILING RECEIPT



\*OC000000005481492\*

Date Mailed: 10/18/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael Zimmer, Saarbrücken, GERMANY;  
Bernd Schultheis, Schwabenheim, GERMANY;

RECEIVED

DEC 26 2000

## Continuing Data as Claimed by Applicant

EXAMINED  
JAN 10 2001  
JAN 10 2001

## Foreign Applications

GERMANY DE 499 42 D 55.6-4 03/09/1999  
199-42-055.6-4

If Required, Foreign Filing License Granted 10/17/2000

## Title

for  
Method marking a thermoplastic material

## Preliminary Class

Data entry by : SARWARI, HOMA

Team : OIPE

Date: 10/18/2000



#3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

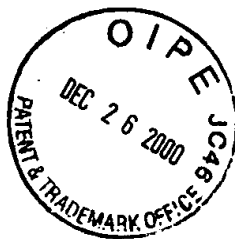
In re Patent Application of:

Michael Zimmer et al.

Serial No.: 09/641,595

Filed: August 18, 2000

Title: METHOD FOR PRINTING ON A THERMOPLASTIC MATERIAL



Atty. Docket No. JFH-A12898US

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

\*\*\*\*\*

Jansson, Shupe, Bridge & Munger, Ltd.  
245 Main Street, Suite M  
Racine, Wisconsin 53403  
December 15, 2000

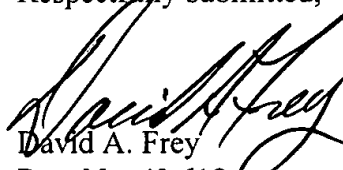
Hon. Commissioner of Patents & Trademarks  
Washington, D.C. 20231

Sir:

STATEMENT CONCERNING FILING OF SUBSTITUTE  
SPECIFICATION PURSUANT TO 37 C.F.R. 1.125

In accordance with 37 C.F.R. § 1.125, applicants state that the attached substitute specification and abstract is filed in order to correct any faulty English that resulted from the translation of the originally-filed German specification and abstract and that such substitute specification and abstract contains no new matter.

Respectfully submitted,

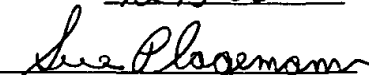
  
David A. Frey  
Reg. No. 43,618

262/632-6900

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on 12-15-00

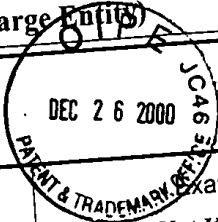
Date: 12-15-00

  
Sue Plagemann

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
JFH-A12898US

In Re Application Of: Michael Zimmer et al



Serial No.  
09/641,595

Filing Date  
08/18/2000

Examiner  
Not Known

Group Art Unit  
Not Known

Invention:

**METHOD FOR MARKING A THERMOPLASTIC MATERIAL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:  
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
October 18, 2000  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☒ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

☒ Other (list):

Preliminary Amendment  
Statement Concerning Filing of Substitute Specification and Substitute Specification  
Priority Document  
Request for correction of File Receipt (copy of file receipt enclosed)  
Return postcard

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**JFH-A12898US**

In Re Application Of: **Michael Zimmer et al**

Serial No.  
**09/641,595**

Filing Date  
**08/18/2000**

Examiner  
**Not Known**

Group Art Unit  
**Not Known**

Invention:

**METHOD FOR MARKING A THERMOPLASTIC MATERIAL**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☒ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees

**\$130.00**

**\$130.00**

**\$260.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☐ Two months

☐ Three months

☐ Four months

☐ Five months

from: \_\_\_\_\_

*Date*

until: \_\_\_\_\_

*Date*

Total time extension fees \_\_\_\_\_

Total fees due

**\$260.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
JFH-A12898US

In Re Application Of: Michael Zimmer et al

Serial No.  
09/641,595

Filing Date  
08/18/2000

Examiner  
Not Known

Group Art Unit  
Not Known

Invention:

**METHOD FOR MARKING A THERMOPLASTIC MATERIAL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$260.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 10-0270  
A duplicate copy of this sheet is enclosed.

  
Signature

David A. Frey, Registration No. 48,618  
Jansson, Shupe, Bridge & Munger, Ltd.  
245 Main Street, Suite M  
Racine, WI 53403  
Telephone: 262/632-6900  
Fax: 262/632-2257  
Email: janlaw@wi.net

Dated: December 15, 2000

I certify that this document and fee is being deposited  
on December 15, 2000 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Sue Plagemann

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Michael Zimmer et al

Docket No.

JFH-A12898US

Serial No.

09/641,595

Filing Date

08/18/2000

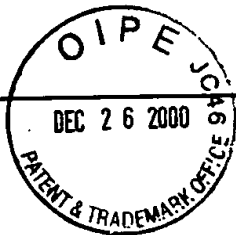
Examiner

Not Known

Group Art Unit

Not Known

Invention: METHOD FOR MARKING A THERMOPLASTIC MATERIAL



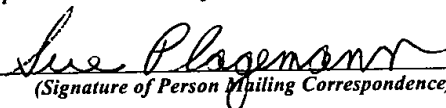
I hereby certify that this Declaration and Power of Attorney for Patent Application  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on December 15, 2000  
(Date)

Sue Plagemann

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

sector #3

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application	09/641,595
Filing Date	08/18/2000
First Named	Zimmer
Group Art Unit	Not Kown
Examiner Name	Not Known
Attorney Docket Number	JFH-A12898US

Total Number of Pages in This Submission



## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Notice to File Missing Parts Declaration and Power of Attorney
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Certified copy of translation
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Certified copy of priority document
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	Request for correction of file receipt
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	RETURN POSTCARD and Statement Concerning Filing of Substitute Specification ALSO ENCLOSED	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David A. Frey, Registration No. 43,618 Jansson, Shupe, Bridge & Munger, Ltd. 245 Main Street, Suite M, Racine, WI 53402
Signature	
Date	December 15, 2000

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		December 15, 2000
Typed or printed name	Sue Plagemann	
Signature		Date December 15, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





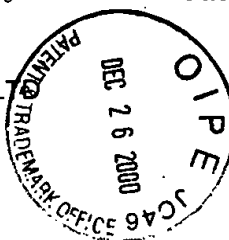
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,595	08/18/2000	Michael Zimmer	JFH-A12898US

24314

JANSSON, SHUPE, BRIDGE & MUNGER, L  
245 MAIN STREET  
SUITE M  
RACINE, WI 53403-1034



## FORMALITIES LETTER



\*OC000000005481493\*

Date Mailed: 10/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 260.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/26/2000 SDJ/DHG 000000095 09641595  
01 FC:105 130.00 OP  
02 FC:139 130.00 OP